

01-25-02

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	017078.000003 JCS978 U.S. PTO 10/055289 01/23/02
	First Named Inventor or Application Identifier	Gavin Clarkson
ADDRESS TO: Assistant Commissioner of Patents Box Patent Application Washington, DC 20231	Title	Method for Deriving Optimal Income Stream in Intellectual Asset Transactions
	Express Mail No.	EL 901962424 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17 (submit in duplicate)
2. ☒ Specification [Total Pages: 19]
3. ☒ Drawing(s) (35 USC 113) [Total Sheets: 0]
4. ☒ Oath or Declaration [Total Pages: 19]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with No. 17 completed)
5. ☐ Incorporation By Reference (useable if No. 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under No. 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

[Note No. 5 below]

DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignment)
Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449
Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (Itemized)
14. ☐ Small Entity Statement(s)
Statement filed in prior application. Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other: _____

ACCOMPANYING APPLICATION PARTS

17. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

☐ Continuation
☐ Divisional
☐ Continuation-in-part (CIP)

of prior application No.: _____

18. Correspondence Address

☐ Customer Number or Bar Code Label or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Attn: Robert C. Curfiss BRACEWELL & PATTERSON, L.L.P.			
Address	P.O. Box 61389			
City	Houston	State	Texas	Zip Code
Country	U.S.A.	Telephone	(713) 221-1430	Fax
				(713) 221-2113

Date: 1/23/02

Robert C. Curfiss, Reg. No. 26,240

FEE TRANSMITTAL Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. 37 CFR §§ 1.27 & 1.28		Complete if Known	
		<i>Application Number</i>	
		<i>Filing Date</i>	
		<i>First Named Inventor</i>	Gavin Clarkson
		<i>Examiner Name</i>	
		<i>Group / Art Unit</i>	
Total Amount of Payment	\$370.00	<i>Attorney Docket No.</i>	017078.000003

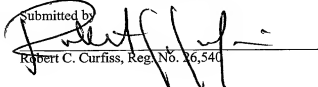
METHOD OF PAYMENT (check one)	
1.	<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account No.: <u>50-0259</u> Deposit Account Name: <u>Bracewell & Patterson, L.L.P.</u> <input checked="" type="checkbox"/> Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR § 1.18 at the Mailing of the Notice of Allowance
2.	Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other

FEE CALCULATION			
1. Basic Filing Fee			
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
\$740	\$370	Utility Filing Fee	\$ 370.00
\$320	\$160	Design Filing Fee	\$
\$490	\$245	Plant Filing Fee	\$
\$710	\$355	Reissue Filing Fee	\$
\$150	\$ 75	Provisional Filing Fee	\$
Subtotal (1)			\$370.00
2. Extra Claim Fees			
Claims	Extra	Fee (below)	Fee Paid
Total 11-20** =	0	x \$9.00	= \$0
Indep. 1- 3** =	0	x \$42.00	= \$0
Multiple Dependent			\$ 0
**or number previously paid, if greater. <small>For Reissues, see below</small>			
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
\$ 18	\$ 9.00	Claims in excess of 20	
\$ 80	\$ 42	Independent claims in excess of 3	
\$ 270	\$ 135	Multiple dependent claim, if not paid	
\$ 80	\$ 40	**Reissue independent claims over original patent	
\$ 18	\$ 9	**Reissue claims in excess of 20 and over original patent	
Subtotal (2)			\$

FEE CALCULATION (continued)

3. Additional Fees

Large Entity	Small Entity	Fee Description	Fee Paid
\$ 130	\$ 65	Surcharge - late fee or oath	\$
\$ 50	\$ 25	Surcharge - late provisional filing fee or cover sheet	\$
\$2,520	\$2,520	Request for Reexamination	\$
\$ 110	\$ 55	Extension for reply within first month	\$
\$ 380	\$ 190	Extension for reply within second month	\$
\$ 870	\$ 435	Extension for reply within third month	\$
\$1,360	\$ 680	Extension for reply within fourth month	\$
\$1,850	\$ 925	Extension for reply within fifth month	\$
\$ 300	\$ 150	Notice of Appeal	\$
\$ 300	\$ 150	Filing a brief in support of an appeal	\$
\$ 260	\$ 130	Request for oral hearing	\$
\$ 110	\$ 55	Petition to revive - unavoidable	\$
\$1,210	\$ 605	Petition to revive - unintentional	\$
\$1,210	\$ 605	Utility issue fee (or reissue)	\$
\$ 430	\$ 215	Design issue fee	\$
\$ 580	\$ 290	Plant issue fee	\$
\$ 130	\$ 130	Petitions to the Commissioner	\$
\$ 50	\$ 50	Petitions related to provisional applications	\$
\$ 240	\$ 240	Submission of Information Disclosure Statement	\$
\$ 760	\$ 380	Filing a submission after final rejection (37 CFR 1.129(a))	\$
Other fee (specify):			\$
Other fee (specify):			\$
Subtotal (3)			\$0

Submitted by 

Robert C. Curfiss, Reg. No. 26,540

10055280.012302